

Time to Start
Thinking
about
Updating the
2014 Budget
Database!



Overview

- ▶ Part 1
 - ▶ MAX A-II Training
 - ▶ FY 2014 Estimated Budget Production Schedule
 - ▶ MAXSupport Contact Information
- ▶ Part 2
 - ▶ FY12 Receipts
 - ▶ Full-Time Equivalents (FTE)
 - ▶ Homeland Security Budget Authority (BA)
- ▶ Part 3
 - ▶ OMB Budget Season Checklist



Part 1 – MAX A-11 Data Entry Training Information

Where do I start??



MAX A-11 Training

- ▶ Registration opens in September and classes begin in October
- ▶ Classes are located at 725 17th st. NW Washington, DC
- ▶ MAX A-11 Training Homepage:
<https://max.omb.gov/community/x/3QD5Hw>



MAX A-11 Training Topics

- ▶ Navigating the MAX Homepage (www.max.gov) (Instructor: Angelo Ramilo)

- ▶ Password, registration, what's your ID?
- ▶ Installation of A-11 application
- ▶ How to find your A-11 administrator
- ▶ How to give initial A-11 application and account access

Note: All MAX A-11 users will receive an email prior to the opening of the training database.

- ▶ Navigating the MAX A-11 Data Entry Application (Instructor: Carolyn Phelps)

- ▶ How to insert your Data in PB2014, PA2014, PN2014
- ▶ How to find your examiner's contact information
- ▶ Budget Concept Viewer
- ▶ Account Information Viewer

- ▶ Basic Circular A-11

- ▶ FACTS II

- ▶ Balancing an Account



MAX Homepage Registration and Password

www.max.gov



MAX.gov HOMEPAGE

Don't Have a MAX ID Yet?

[Register Now](#)

NEW! Welcome to the
New MAX Homepage
New **cleaner interface**, **new unified MAX toolbar** and **more!**
Even more great changes coming soon!

[Learn More](#)

< Prev Pause Play Next >

1 2 3

[Login](#)

[Manage Your Password](#)

[MAX Cloud Services Capabilities](#)

[Budget Formulation and Execution Line of Business](#)

Welcome to the MAX Homepage

If you are a new user, please [register here](#). Registration is **ONLY** available to Federal government employees and contractors with a valid .gov, .mil, or .fed.us email address. Please visit our [FAQ](#) for any questions about accessing MAX or to view our user agreement.

MAX Federal Community

The MAX Federal Community is used by OMB and Federal agencies to share information and collaborate. It is part of the Budget Formulation and Execution Line of Business (BFELoB).

[Go to MAX Federal Community](#)

Apportionment

OMB Circular A-11 requires all executive branch agencies to use OMB's web-based apportionment system to send apportionment requests to OMB. Agency budget offices use the apportionment application to: help prepare apportionment requests; send requests to OMB; and, run reports against previously approved

MAX Availability

[Available 24 Hours](#)
Maintenance window
Sundays 2-8:00AM EST

MAX Support Hours

[Weekdays](#)
8:30AM - 9:00PM EST
[Weekends](#)
9:00AM - 6:00PM EST
(response within 2 hours)

Contact Us

[E-Mail](#)
maxsupport@omb.eop.gov
[Phone](#)
202-395-6860



MAX Homepage

Installation of the MAX A-11 Application

MAX A-11 Software

- [MAX A-11 Program](#)***Please follow the downloading instructions below.***
- [MAX A-11 Program Downloading Instructions \(instructions for the program package\)](#)

[↑ Return to Top of Page](#)

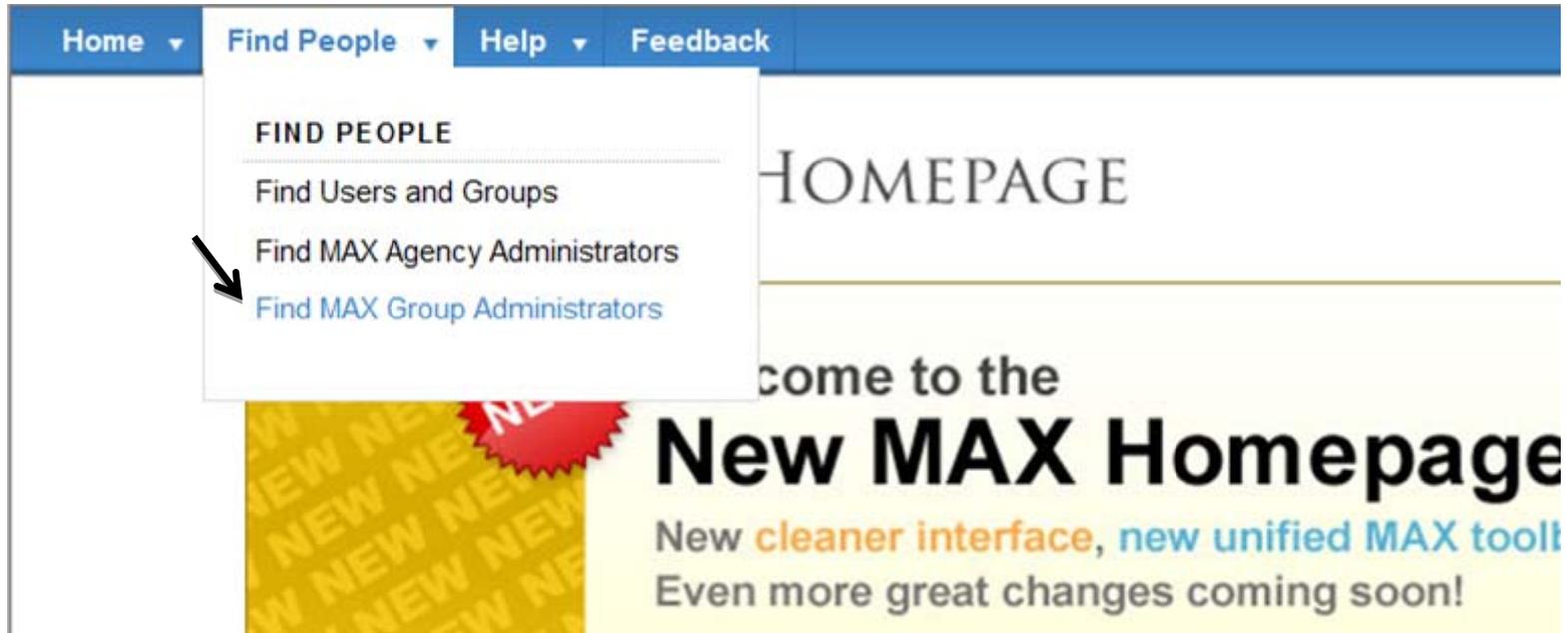
- ▶ Download the A-11 software and instructions here: <https://max.omb.gov/maxportal/webPage/a11/maxa11>

Note: Training database will be available mid September



MAX Homepage

Find your A-11 Administrator



The screenshot shows the top navigation bar of the MAX Homepage. The 'Find People' menu is open, displaying the following options:

- FIND PEOPLE
- Find Users and Groups
- Find MAX Agency Administrators
- Find MAX Group Administrators

A black arrow points to the 'Find MAX Group Administrators' option. Below the navigation bar, a banner features a yellow background with a repeating 'NEW' pattern and a red starburst graphic. The banner text reads: 'Welcome to the New MAX Homepage. New cleaner interface, new unified MAX tools. Even more great changes coming soon!'.



MAX Homepage

How to give A-11 Application Access (For A-11 Administrators)

Collaboration Groups Communities **MAX Applications** Manage Groups Shared Desktop

My MAX Applications

- MAX A-11 Data Entry
- MAX A-11 Text Data

Modify My Applications

Manage Users

Manage Users

Agency Users **Pending User Requests (0)** Pending Registrations (0)

The following agency users requested access to MAX A-11 Data Entry application. To approve a user's request for access to the MAX A-11 Data Entry application, please select the *approve* button. To disapprove a user, please select the *disapprove* button.

Name	Email	Request Date	Admin?	Action
Bazemore, Malisa	mbazemore@ncua.gov	2011-12-12	N	<input type="button" value="approve"/> <input type="button" value="disapprove"/>
Bealer, Todd	tcbealer@tva.gov	2012-06-06	N	<input type="button" value="approve"/> <input type="button" value="disapprove"/>



MAX Homepage

How to give A-11 Account Access (For A-11 Administrators)

A-11 Schedule Access Information:

Exercise	Agency/Bureau/Account	Data Type	Access	Actions
				<input type="button" value="Add A-11 Schedule Access"/>

A11 Access Addition Form

Please complete the required fields in the form below and select the *Add* button to provide access to A-11 schedule data for the currently selected user. Adding access to A-11 schedule data automatically adds read access to associated previous schedule data and write access to corresponding training data.

* indicates a required field

User Id: A063156
Exercise Type: President's Budget Schedules
Data Type: * Appropriations Receipts Both
Agency: * Department of Education
Bureau: * Department of Education
Account: * All Accounts
Access Type: * Read Write



Note: All MAX A-11 users will receive an email prior to the opening of the training database.



MAX A-11 Application

Appropriation Account

President's Budget 2013 (PB2013)

MaxDE - [Account: PB2013 018-10 91-0012 -0-501]

File Edit Options OMB Host Errors View Reports Window Help

(PB2013-MASTER) Education Jobs Fund (Office of Elementary and Secondary Education) CELL = READ ONLY

Combined Schedule (X)		2011 Actual	2012 CY	2013 BY	2014 BY	2015 BY	2016 BY
Combined Schedule (X)							
Obligations by program activity:							
0001	Education Jobs Fund	993			

0900	Total new obligations (object class 41.0)	993			
Budgetary Resources:							
Unobligated balance:							
1000	Unobligated balance brought forward, Oct 1	993			
Budget authority:							
Appropriations, mandatory:							

1260	Appropriations, mandatory (total)			
Mandatory, Appropriations Committee							
1260-40 01	Appropriation [Text] **OL Rates**
1260-50 01	Baseline Non-Pay	
Policy Outlays:							
1260-61 01	New Authority
1260-62 01	Balances (excl of EOY PY Bal)	5,056
1260-63 01	End of PY Balances		3,712

1260-64 01	Subtotal, outlays	5,056	3,712
Baseline Outlays:							
1260-64 01	New Authority						



MAX A-11 Application

Appendix Text

President's Appropriation Language 2013 (PA2013)

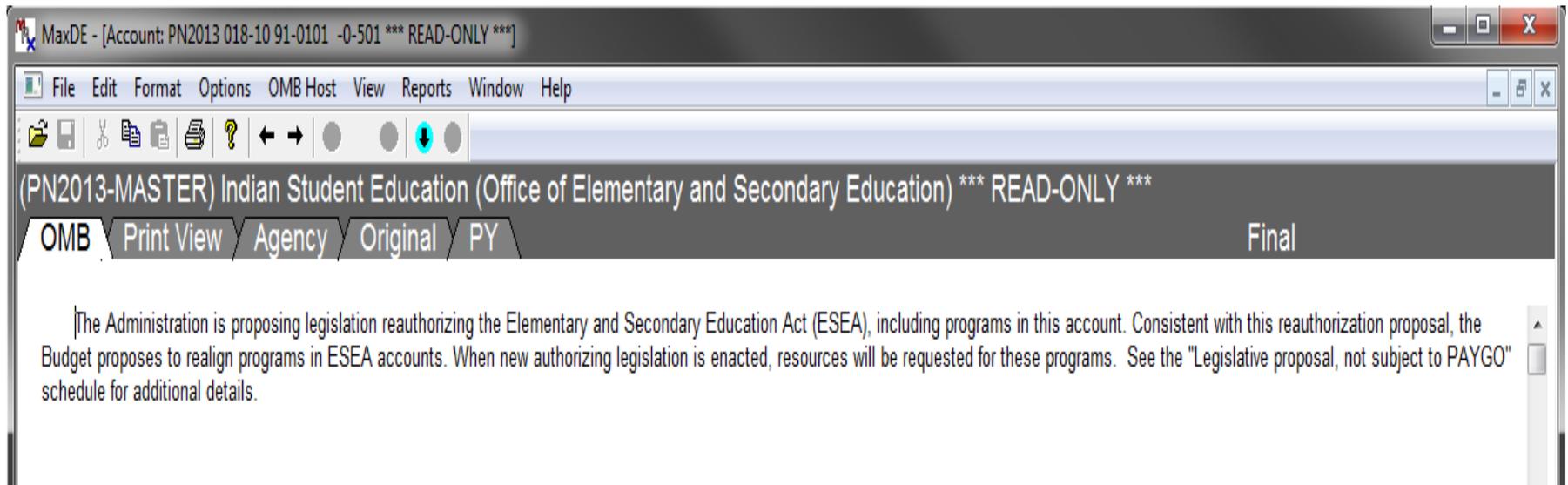
The screenshot shows a web browser window titled "MaxDE - [Account: PA2013 018-10 91-0101 -0-501 *** READ-ONLY ***]". The browser's address bar displays "(PA2013-MASTER) Indian Student Education (Office of Elementary and Secondary Education) *** READ-ONLY ***". Below the address bar is a navigation menu with tabs for "OMB", "Print View", "Agency", "Original", and "PY", with "Final" displayed on the right. The main content area features the text "Indian Education Indian Student Education" in red and blue. Below this, a red line of text reads: "For expenses necessary to carry out, to the extent not otherwise provided, title VII, part A of the ESEA, \$131,027,000. (Department of Education Appropriations Act, 2012.)".



MAX A-11 Application

Appendix Text

President's Narrative 2013 (PN2013)



MaxDE - [Account: PN2013 018-10 91-0101 -0-501 *** READ-ONLY ***]

File Edit Format Options OMB Host View Reports Window Help

(PN2013-MASTER) Indian Student Education (Office of Elementary and Secondary Education) *** READ-ONLY ***

OMB Print View Agency Original PY Final

The Administration is proposing legislation reauthorizing the Elementary and Secondary Education Act (ESEA), including programs in this account. Consistent with this reauthorization proposal, the Budget proposes to realign programs in ESEA accounts. When new authorizing legislation is enacted, resources will be requested for these programs. See the "Legislative proposal, not subject to PAYGO" schedule for additional details.



MAX A-11 Application

Where to Find your Examiner's Contact Information

Account Download Selection - PN2013

Account Title	Last Update	Last Download	Examiner	Examiner Phone
Indian Student Education (Office of Elementary and Se...	12-01-24 05:44 PM - McAllister,Shel	12-07-26 03:17 PM - Ramilo,Angelo	Carroll,William	(202) 395-7144
Indian Student Education (Office of Elementary and Se...	12-01-24 05:47 PM - McAllister,Shel	12-01-24 05:45 PM - McAllister,Shel	Carroll,William	(202) 395-7144
Impact Aid (Office of Elementary and Secondary Educ...	12-01-24 05:39 PM - McAllister,Shel	12-01-25 09:53 AM - Hadley,Judy A	Carroll,William	(202) 395-7144
Impact Aid (Office of Elementary and Secondary Educ...	12-01-24 05:40 PM - McAllister,Shel	12-01-25 09:53 AM - Hadley,Judy A	Carroll,William	(202) 395-7144
Supporting Student Success (Office of Elementary and...	12-01-17 09:29 AM - Carroll,William	12-02-06 12:36 PM - Martin,Nancy	Carroll,William	(202) 395-7144
Supporting Student Success (Office of Elementary and...	12-01-18 10:33 AM - Carroll,William	12-02-06 12:37 PM - Martin,Nancy	Carroll,William	(202) 395-7144
Accelerating Achievement and Ensuring Equity (Office ...	12-01-24 05:38 PM - McAllister,Shel	12-02-06 12:37 PM - Martin,Nancy	Danberg,Neil B.	(202) 395-3429
Accelerating Achievement and Ensuring Equity (Office ...	12-01-18 11:00 AM - Danberg,Neil B.	12-02-06 12:37 PM - Martin,Nancy	Danberg,Neil B.	(202) 395-3429
Education Improvement Programs (Office of Elementar...	12-01-24 05:43 PM - McAllister,Shel	12-02-06 12:37 PM - Martin,Nancy	Zota,Rita R.	(202) 395-1028
Education Improvement Programs (Office of Elementar...	12-01-18 10:36 AM - Fatherree,Kira	12-02-06 12:37 PM - Martin,Nancy	Zota,Rita R.	(202) 395-1028
State Fiscal Stabilization Fund, Recovery Act (Office of...	12-01-17 12:50 PM - Zota,Rita R.	12-02-06 12:37 PM - Martin,Nancy	Zota,Rita R.	(202) 395-1028
Innovation and Instructional Teams (Office of Innovatio...	12-01-24 06:06 PM - Fatherree,Kira	12-01-30 12:40 PM - Hadley,Judy A	Carroll,William	(202) 395-7144
Innovation and Instructional Teams (Office of Innovatio...	12-01-24 06:07 PM - Fatherree,Kira	12-01-31 05:18 PM - Carroll,William	Carroll,William	(202) 395-7144
English Learner Education (Office of English Language...	12-01-24 05:58 PM - Fatherree,Kira	12-01-25 09:53 AM - Hadley,Judy A	Zota,Rita R.	(202) 395-1028
English Learner Education (Office of English Language...	12-01-24 06:04 PM - Fatherree,Kira	12-01-25 09:53 AM - Hadley,Judy A	Zota,Rita R.	(202) 395-1028
Special Education (Office of Special Education and Re...	12-01-24 05:49 PM - Fatherree,Kira	12-01-24 05:50 PM - Fatherree,Kira	Akbari,Jameela	(202) 395-4687
Rehabilitation Services and Disability Research (Office ...	12-01-24 06:05 PM - Fatherree,Kira	12-05-10 01:58 PM - Akbari,Jameela	Akbari,Jameela	(202) 395-4687
American Printing House for the Blind (Office of Special...	12-01-18 01:13 PM - Fatherree,Kira	12-01-18 01:13 PM - Fatherree,Kira	Akbari,Jameela	(202) 395-4687
National Technical Institute for the Deaf (Office of Spe...	12-01-18 10:36 AM - Fatherree,Kira	12-01-18 07:43 AM - Cassell,Mary I.	Akbari,Jameela	(202) 395-4687
Gallaudet University (Office of Special Education and ...	12-01-24 05:51 PM - Fatherree,Kira	12-01-24 05:52 PM - Fatherree,Kira	Akbari,Jameela	(202) 395-4687
...

Select All Previous Window Download Dir Download

Clear All Total available = 55 Cancel



MAX A-11 Application

Budget Concept Viewer

Concept Navigator Policy (Schedules A or R) ▾

```
graph TD; A((Appropriations)) -- "?" --> P((Policy (Schedules A or R))); B((Subtotal, outlays)) -- "?" --> P; C((Outlays from end of PY balances)) -- "?" --> P; D((Outlays from new authority)) -- "?" --> P; E((Outlays from balances (excluding PY end of year balances))) -- "?" --> P;
```

Name
Policy (Schedules A or R)

Link to Community
<https://max.omb.gov/community/pages/viewpage.action?pageId=595701758>

Description
<p>Policy means presidential policy for budget authority, offsetting collections, outlays, and receipts. During budget season, this information is in schedules A (for budget authority, offsetting collections, and outlays) and R (for receipts).</p>

Schedule/Line Numbers
A-11 Citation

based on Moritz Steffner's Relation Browser



MAX A-11 Application

Account Information Viewer



Account Information Viewer

Searches

- [Search by Account Number](#)
- [Search for Account Remarks](#)

Pick a budget account to view

- [-] Department of Education
 - [-] Department of Education
 - [-] Office of Elementary and Secondary Education
 - Accelerating Achievement and Ensuring Equity (018-10-0900)
 - Impact Aid (018-10-0102)
 - Education Improvement Programs (018-10-1000)
 - Supporting Student Success (018-10-0203)
 - Indian Student Education (018-10-0101)
 - Education Jobs Fund (018-10-0012)**
 - State Fiscal Stabilization Fund, Recovery Act (018-10-1909)

Education Jobs Fund (018-10-0012)

[Account Information](#) [Remarks](#)

Treasury Accounts

Account Number	Primary / Secondary Acct	Title	Subaccount code	Subfunction code	Citation
91-0012	PRIMARY	Education Jobs Fund		501	HR 1586



MAX A-11 Training Dates

<https://max.omb.gov/community/x/3QD5Hw>

MAX A-11 Application Training

New Executive Office Building

- ▶ October 2nd 2:00-4:00 p.m.
- ▶ October 3rd 9:00-11:00 a.m.
- ▶ October 4th 9:00-11:00 a.m.
- ▶ October 9th 2:00-4:00 p.m.
- ▶ October 10th 9:00-11:00 a.m.
- ▶ October 11th 9:00-11:00 a.m.
- ▶ October 16th 2:00-4:00 p.m.
- ▶ October 17th 2:00-4:00 p.m.
- ▶ October 18th 2:00-4:00 p.m.
- ▶ October 23rd 9:00-11:00 a.m.
- ▶ October 24th 9:00-11:00 a.m.
- ▶ October 25th 2:00-4:00 p.m.
- ▶ October 30th 2:00-4:00 p.m.
- ▶ October 31st 9:00-11:00 a.m.

Budget Concepts

White House Conference Center

- ▶ **Basic Circular A-11**
Instructor: Gail Zimmerman
 - ▶ October 15th 1:00-2:30 p.m.
- ▶ **FACTS II**
Instructor: Teresa Tancre
 - ▶ October 3rd 2:00-4:00 p.m.
 - ▶ October 22nd 2:00-4:00 p.m.
- ▶ **Balancing an Account**
Instructor: Shelly McAllister
 - ▶ October 17th 9:00-11:00 a.m.
 - ▶ October 18th 9:00-11:00 a.m.



FY 2014 Estimated Budget Production Schedule

Initial budget submissions to OMB	September 10
FACTS II closes for 4 th quarter FY 2014	October 19
MAX database opens; FACTS II revision window opens	November 1
Agency PY lock and FACTS II revision window closes	November 13
Receipt PY revision window close	November 13
Economic assumptions released	November 16
Agency baseline lock (discretionary and mandatory)	December 10
Final database: Agency lock-out	January 9
Transmittal of the FY 2014 Budget	February 4



MAXSupport is Here to Help!

Hours of Support

Weekdays 8:30am to 9:00pm EST

Weekends 9:00am to 6:00pm EST

Contact Us

E-Mail us at

maxsupport@omb.eop.gov

Phone: 202-395-6860

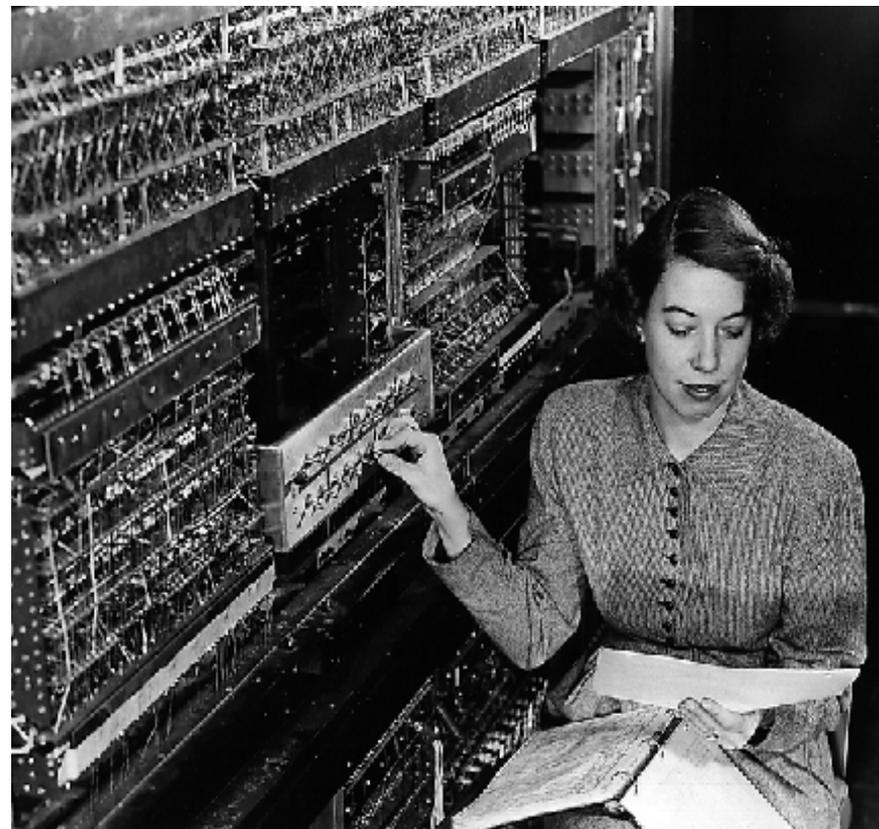


Part 2 - Updating OMB's "MAX" Database



Updating OMB's "MAX" Database

- ▶ FY12 Receipts
- ▶ Full-Time Equivalents (FTE)
- ▶ Homeland Security Budget Authority (BA)



FY12 Receipt Data

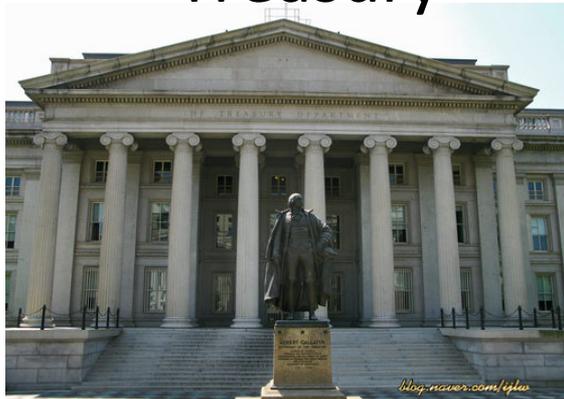
2014 President's Budget



OMB



Treasury



Agencies



OMB Receives Treasury PY Data

007-00-223600 Sale of Certain Materials in National Defense Stockpile

97	2236	000	Sale of Certain Materials in National Defense Stockpile	P	116,821,000.00
----	------	-----	---	---	----------------

Account Total

116,821,000.00

007-00-992410 Lease of Department of Defense Real Property

97	5189	021	Lease of Department of Defense Real Property for Army	S	6,772,063.73
----	------	-----	---	---	--------------

97	5189	057	Lease of Department of Defense Real Property for Air Force	S	2,913,676.50
----	------	-----	--	---	--------------

97	5189	097	Lease of Department of Defense Real Property for Defense Agencie	S	2,526,068.05
----	------	-----	--	---	--------------

97	5189	017	Lease of Department of Defense Real Property for Navy	S	13,508,609.97
----	------	-----	---	---	---------------

Account Total

25,720,418.25



Merged Receipt in MAX

MaxDE - [Account: MS2013 007-00 97-992410-0-051]

File Edit Options OMB Host Errors View Reports Window Help

(MS2013-MASTER) Lease of Department of Defense Real Property (Department of Defense--Military ..CELL = TITLE

Receipts - Policy (R)		2011 Actual	2012 CY	2013 BY
Receipts - Policy (R)				
1340-03 01	Receipts from sales of property or assets	26	20	11
1340-03	Mandatory, Authorizing Committee			
1340-03 02	Receipts from sales of property or assets
1340-03	Mandatory, Authorizing Committee			
Receipts - Baseline (K)				
1340-03 01	Receipts from sales of property or assets			
1340-03	Mandatory, Authorizing Committee		20	11

For Help, press F1

\\sfomb01\home\CRILLEY_J\My Documents\MAX-A 11\DATA\MS2013-007-00-97- ONLINE PROD

PY in MAX is a locked field.



Spending Account Appropriation

MaxDE - [Account: PB2013 007-10 97-5189 -0-051]

(PB2013-MASTER) Lease of Department of Defense Real Property (Operation and Maintenance) CELL = READ ONLY

Combined Schedule (X)		2011 Actual	2012 CY	2013 BY
Combined Schedule (X)				
Obligations by program activity:				
0010	Real property maintenance	43	92	12
0900 Total new obligations		43	92	12
Budgetary Resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	82	84	12
1021 01	Recoveries of prior year unpaid obligations	17
1050 Unobligated balance (total)		99	84	12
Budget authority:				
Appropriations, discretionary:				
1101 01	Appropriation (special or trust fund)	28	20	11
1160 Appropriation, discretionary (total)		28	20	11

Retrieving OMB HTML report... \\sfomb01\home\CRILLEY_J\My Documents\MAX-A11\DATA\PB2013-007-10-97- ONLINE PROD NUM



PY Receipt – Logic Check

\\sfomb01\home\CRILLEY_J\My Documents\MAX-A11\USER\Report 2.html - Windows Internet Explorer

\\sfomb01\home\CRILLEY_J\My Documents\MA

Account Informati... \\sfomb01\ho...

(PB2013) Lease of Department of Defense Real Property [007-10-5189]

	2011 Actual	2012 CY	2013 BY
Special and Trust Fund Receipts (N)			
0100 01 Balance, start of year	29	27	27
Receipts			
0220 01 Lease of Department of Defense Real Property [007-00-992410-0-134003]	26	20	11
0400 01 Total: Balances and collections	55	47	38
Appropriations			
0500 01 Lease of Department of Defense Real Property [007-10-5189-0-1101]	-28	-20	-11
0799 01 Balance, end of year	27	27	27

This report is based on your most recently uploaded account data.
Re-run the report from MAX to see any changes reflected in the report.

Done Local intranet | Protected Mode: Off 100%



Backdated Receipt Adjustments OMB & FMS Correction Tracking System

Backdated Treasury Documents - Windows Internet Explorer

https://max.omb.gov/exercises/collect?type=Exercise&id=961&parent_type= Office of Management and Budget [US] Live Search

Backdated Treasury Documents

Home Find People Help Feedback Welcome Joseph Log Out

Backdated Treasury Documents
Status: Active [CLICK HERE FOR INSTRUCTIONS](#) Contact: [Teresa Tancre](#) [Share](#)

Action Control

- Backdated Treasury Documents
 - Backdated Actions Processed in
 - Examples
 - AG - Department of Agriculture
 - HHS - Dept of Health & Human Services
 - DOI - Department of the Interior
 - DOJ - Department of Justice
 - DOL - Department of Labor
 - 16090327 Warrant**
 - 16X0186_Warrant
 - 16X0178_Warrant
 - 16X1800_Warrant
 - 16X1801_Warrant
 - 16X1523 Warrant
 - 16X0178 - Warrant
 - 16X0186 - Warrant
 - TREASURY - Department of the Treasury
 - DOE - Department of Energy
 - DHS - Dept of Homeland Security
 - NASA - Natl Aeronautics & Space Administration
 - COE - Corps of Engineers-Civilian Conservation Corps
 - ARC - Appalachian Regional Commission
 - A&TBCB - Arch & Trans Barrier

Edit Exercise Edit All Exercises

Star balances [Star balance 16090327.pdf](#)

Treasury Control Number (No agency action required.)
FMS will enter the Agency Location Code as noted on the FMS 224 or 1219 form. FMS will enter the Warrant or NET number as indicated as

ALC	Warrant
	3328133

Export All to Excel

Amount (in dollars)
Use Treasury agency, FY1, FY2, and four-digit Treasury Account Symbol for affected expenditure account(s) identified above. Use Treasury identified above. Enter the original amount recorded on the Treasury document initially processed. If there was no document, leave blank. amount and the change as the revised amount.

Expenditure/Receipt Account	Original Amount [A]	Change [B]
16090327	7,500,000,000.00	-1,150,917,767.85

https://max.omb.gov/exercises/collect/# Local intranet | Protected Mode: Off 100%



FY12 FTE Data

Previous Budgets

2014 President's Budget



OMB



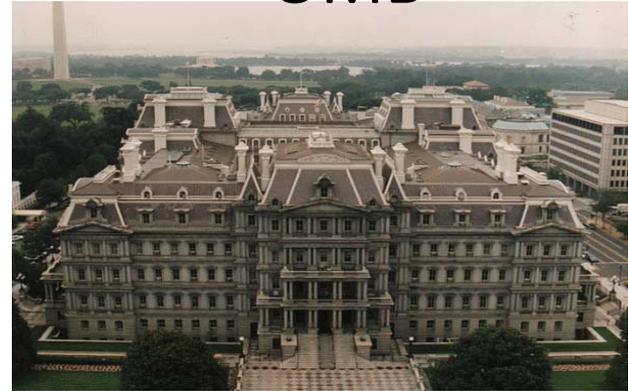
FY12 FTE Data

2014 Budget

2014 President's Budget



OMB



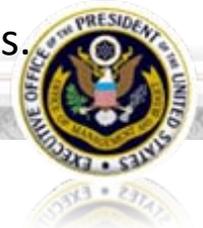
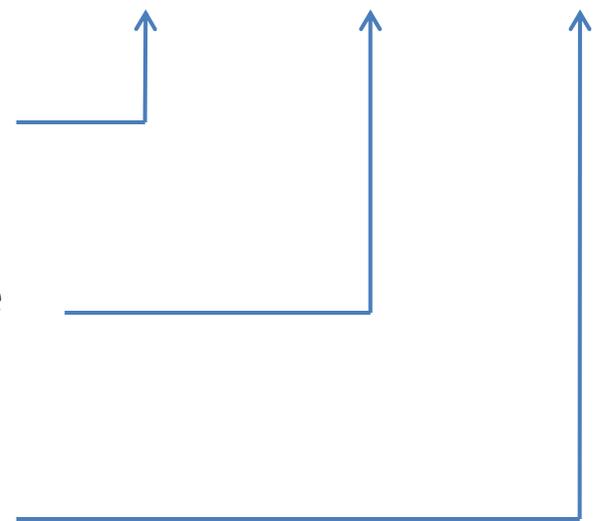
Budget Employment Summary

(Example Schedule Q in the 2013 Budget)

Employment Summary

Identification code 70-0400-0-1-751	2011 actual	2012 est.	2013 est.
1001 Direct civilian full-time equivalent employment	6,890	7,040	7,046
2001 Reimbursable civilian full-time equivalent employment	15	15	15

- Prior Year Actuals -- as reported to OPM and OMB by the agency on their SF-113G report.
- Current Year Estimates – Baseline program estimate under enacted or anticipated funding levels.
- Budget Request – Policy program estimate under requested funding levels.



FTE Data – Summary Report

FY11 Full-Time Equivalent (FTE) Report (In Budget Order As Of The 2013 Budget)

Agency	Agency SF-113G*	FY11 MAX Q	Delta	Tolerance + or -
005 Department of Agriculture.....	95,923	95,921	-2	22
006 Department of Commerce.....	41,267	41,263	-4	11
007 Department of Defense--Military Programs.....	771,314	771,314	---	12
018 Department of Education.....	4,387	4,387	---	2
019 Department of Energy.....	16,130	16,133	3	9
009 Department of Health and Human Services.....	68,814	68,824	10	18
024 Department of Homeland Security.....	179,564	179,535	-29	13
025 Department of Housing and Urban Development.....	9,511	9,512	1	3

This report is located on the OMB Budget Reports community page.



Detail FTE Reports Available in MAX

Report Selection

Report Groups:

- ACCOUNT DATA LISTINGS
- ACCOUNT TITLE LISTINGS
- BUDGET ACCOUNTS LISTINGS: UP-TO-THE-MINUTE MANAGEMENT REVIEW
- OTHER REPORTS (AVAILABLE ONLY AT BUDGET SEASON)
- APPENDIX TEXT

Reports:

- Budget Accounts with Errors or Issues
- Object Class Detail Data
- Edit Check 1, Discretionary BA & OB Limits
- Edit Check 2, Offsetting Collections & Spending Authority
- Edit Check 3, Discretionary Offsetting Receipts
- Total Civilian FTE Employment: MAX Schedule Q Detail
- Total Military Employment: MAX Schedule Q Detail
- FTE Employment vs. Obligations: Average Salaries
- R & D Category Report

OK Cancel



FTE Detail Report By Account

7/6/2012
 11:15:42 AM
 FTE_DETAIL_CIV
 CRILLEY_J

CIVILIAN FULL-TIME EMPLOYMENT: MAX SCHEDULE Q DETAIL
2012 Budget

	<u>2011</u>	<u>2012</u>	<u>2013</u>
Equal Employment Opportunity Commission			
<hr/>			
Equal Employment Opportunity Commission			
<hr/>			
350-00-0100-0 Salaries and Expenses [Equal Employment Opportunity			
Line: 1001 Civilian Employment -- Direct.	2,491	2,340	2,340
Subtotal Account:	2,491	2,340	2,340
350-00-4019-0 EEOC Education, Technical Assistance, and Training Revolving			
Line: 2001 Civilian Employment -- Reimbursable.	14	14	14
Subtotal Account:	14	14	14
Subtotal Bureau: Equal Employment Opportunity Commission.	2,505	2,354	2,354
Subtotal Agency: Equal Employment Opportunity Commission.	2,505	2,354	2,354

Often Helpful When Trying to Hit Your Agency Total FTE Target In MAX



Three Year FTE Execution Report

Agency Account Detail:

AGENCY	ACCOUNT TITLE	FY09	FY10	FY11
Smithsonian Institution				
Smithsonian Institution				
452-00-0100-0	Salaries and Expenses [Smithsonian Institution]			
	ACTUALS	4,187	4,161	4,212
	CY ESTIMATE	4,291	4,318	4,233
	DELTA +/-	-104	-157	-21
452-00-0103-0	Facilities Capital			
	ACTUALS	48	48	48
	CY ESTIMATE	48	48	48
	DELTA +/-	0	0	0
452-00-0200-0	Salaries and Expenses, National Gallery of Art [Smithsonian Institution]			
	ACTUALS	799	807	794
	CY ESTIMATE	883	883	848
	DELTA +/-	-84	-76	-54



Budget FTE Summaries

Analytical Perspectives Volume

Table 11-2. FEDERAL CIVILIAN EMPLOYMENT IN THE EXECUTIVE BRANCH
(Civilian employment as measured by FTEs in thousands, excluding the Postal Service)

Agency	Actual		Estimate		Change: 2012 to 2013	
	2010	2011	2012	2013	FTE	Percent
Cabinet agencies:						
Agriculture	96.3	95.9	93.3	92.3	-1.0	-1.1%
Commerce	123.3	41.3	40.5	42.0	1.5	3.7%
Defense	741.4	771.3	764.3	756.8	-7.5	-1.0%
Education	4.1	4.4	4.3	4.3	0.0	0.0%
Energy	16.1	16.1	16.5	16.4	-0.1	-0.6%
Health and Human Services	66.1	68.8	70.1	71.5	1.4	2.0%
Homeland Security	173.0	179.5	187.5	188.9	1.4	0.7%
Housing and Urban Development	9.5	9.5	9.4	9.4	0.0	0.0%
Interior	70.9	70.5	70.4	69.8	-0.6	-0.9%
Justice	113.4	116.3	117.9	118.6	0.7	0.6%
Labor	16.9	16.9	17.4	17.4	0.0	0.0%
State	31.6	32.4	32.4	32.5	0.1	0.3%
Transportation	57.2	57.4	57.7	57.9	0.2	0.3%
Treasury	111.9	110.7	108.2	111.8	3.6	3.3%
Veterans Affairs	284.8	295.7	302.3	306.6	4.3	1.4%



FY12 Homeland Data

2014 President's Budget



OMB



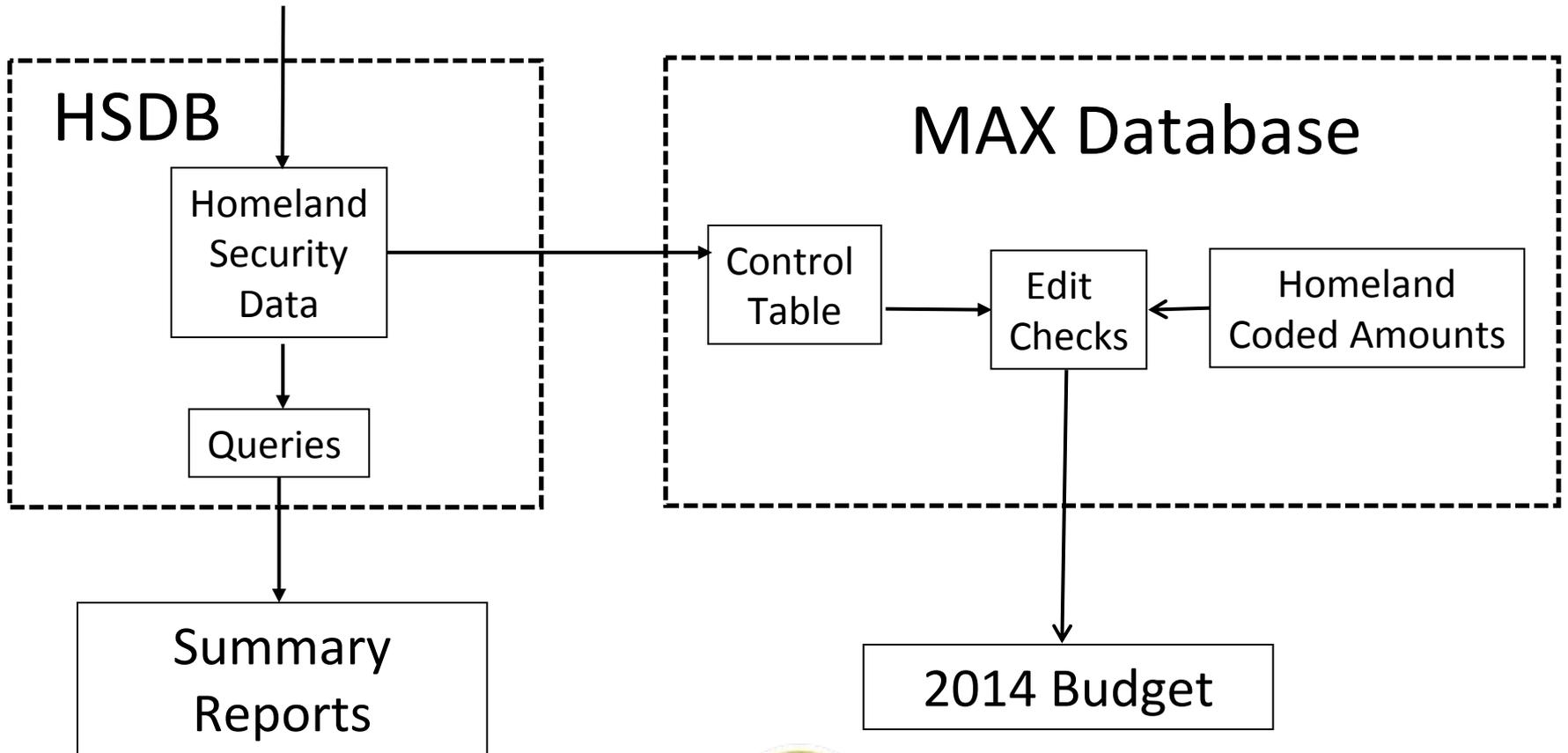
Agencies



Homeland Security Database

(HSDB)

Agency Input



Homeland Database Input Screen

Add Program Edit Program

Add Program

PROGRAM/ACTIVITY DATA ENTRY

Agency ▶ Department of Health and Human Services

Program Name ▶

Funding Type ▶ Homeland Security

Spending Type ▶ Discretionary Budget Authority

CIP/COOP ▶ CIP-Physical

WMD ▶ Non-WMD

R&D ▶ No Yes

National Strategy Mission Area ▶ Border and Transportation Security

	FY05	FY06	FY07
Agency			<input type="text"/>
RMO Recommendation			<input type="text"/>
Budget Request		<input type="text"/>	<input type="text"/>
Enacted	<input type="text"/>	<input type="text"/>	
Supplemental	<input type="text"/>	<input type="text"/>	

BA in millions (use decimals for thousands)

Comments ▶

SAVE CANCEL

ACCOUNT

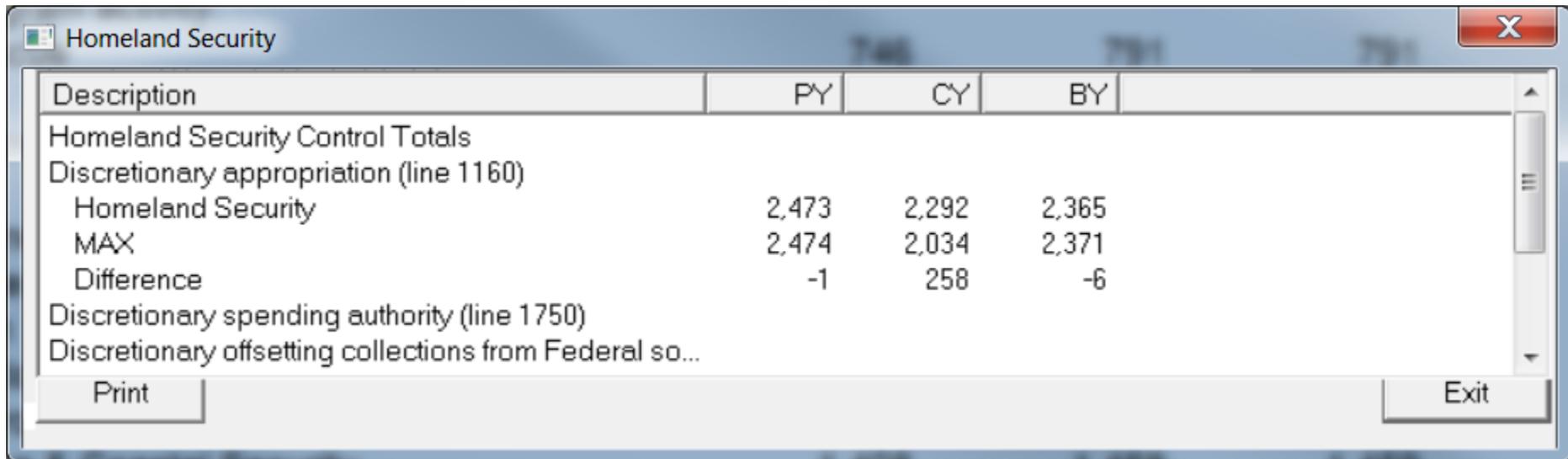
Number	009-10-9911
Bureau	Food and Drug Administration
Account	Salaries and expenses

PROGRAMS

- [Continuity of Operations](#)
- [Food Safety](#)
- [Information And Communication](#)**
- [Physical Security](#)
- [Vaccines/Drugs/Diagnostics](#)



MAX View of Homeland BA Edit Check



Description	PY	CY	BY
Homeland Security Control Totals			
Discretionary appropriation (line 1160)			
Homeland Security	2,473	2,292	2,365
MAX	2,474	2,034	2,371
Difference	-1	258	-6
Discretionary spending authority (line 1750)			
Discretionary offsetting collections from Federal so...			

Print Exit



Homeland Security Budget Summaries

Homeland Security Budget Summaries

Analytical Perspectives Volume

24. HOMELAND SECURITY FUNDING ANALYSIS

Section 889 of the Homeland Security Act of 2002 requires that a homeland security funding analysis be incorporated in the President's Budget. This analysis addresses that legislative requirement, and covers homeland security funding and activities of all Federal agencies, not just those carried out by the Department of Homeland Security (DHS). Since not all activities carried out by DHS

constitute traditional homeland security funding (e.g. response to natural disasters and Coast Guard search and rescue activities), DHS estimates in this section do not encompass the entire DHS budget. As also required in the Homeland Security Act of 2002, this analysis includes estimates of State, local, and private sector expenditures on homeland security activities.

Table 24-1. HOMELAND SECURITY FUNDING BY AGENCY
(Budget authority in millions of dollars)

Agency	2011 Actual	2011 Supplemental/ Emergency	2012 Enacted	2013 Request
1 Department of Agriculture	580.0	570.1	551.4
2 Department of Commerce	261.9	289.6	304.1
3 Department of Defense	16,993.5	17,358.4	17,955.1
4 Department of Education	30.0	30.9	35.5
5 Department of Energy	1,994.3	1,923.3	1,874.7
6 Department of Health and Human Services	4,181.5	4,146.8	4,112.2
7 Department of Homeland Security	34,900.8	35,124.7	35,533.7



Part 3- OMB Budget Season Checklist

Know your numbers! And...

...make sure they are correct.

- ▶ Agency or components all have “Numbers” Control Tables from when your bill was enacted. *During the year*, budget staff should check to make sure your agency has executed warrants, rescissions, “mandated” transfers, CHIMPS, and across-the-board reductions correctly and reported them correctly to Treasury. Why?
- ▶ The Prior Year or “actual” column for expenditure accounts is populated with the budget execution data that agencies reported to Treasury using the FACTS II system. Much of the data may not be familiar to budget folks (unpaid obligations, change in uncollected customer payments, etc). It is important that this information be correct because if during MAX A-I I entry, the data is found to be incorrect, finance staff will need to fix it in the FACTS II system before the FACTS II revision window lock (Nov 13th).
- ▶ But check where/how? <https://max.omb.gov/community/x/HAAQAw>.



Home ▾ Find ▾ Help ▾ Feedback

 BUDGET COMMUNITY
Budget Execution Reports

OPEN - EXECUTIVE BRANCH ▾

▶ > Budget C > Home > Budget Reports > Budget Execution Reports (7) ▾

Edited By Hee Jun(OMB) on Sep 24, 2010 at 10:26 AM ▾

P
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▶

- **FACTS II - Budget Program and Financing Schedule.**
- **FACTS II – Net Transfers in GWA NET vs. FACTS II Transfers**
- **FACTS II - Reporting that may cause errors in MAX A-11.**
- **FACTS II - SF 133 Report on Budget Execution and Budgetary Resources.**
- **Monthly Treasury Statement Account Detail (Agency-reported outlays by month)**
- **Reports on Appropriations Warrants (redirect)**
- **Reports on Nonexpenditure Transfers (redirect)**

- ▶ Need an ID? Sign on to “budget community” at
<https://max.omb.gov/maxportal/home.do>





Use the readily available reports

- ▶ *So during the year, look at the SF-133 or the Budget Program and Financing Schedule report to cross check against your agency's control tables. The P&F report is particularly useful. If an amount is wrong or is showing up on the wrong line, you can copy the information to help discussions with finance staff.*
- ▶ *Also, make sure your finance counterparts are aware of the quarterly "FACTS II - Reporting that may cause errors in MAX A-1 I" report. This report applies all the MAX A-1 I error checks to the FACTS II data. It flags lines with wrong signs (+/-), i.e., abnormal balances. It highlights where the sum of adjustment lines exceeds what is being adjusted, etc.*
- ▶ *Examples of how to use these reports are at the end of this presentation.*



What happens if during PY entry, I find that a number from FACTS II is wrong?

Budget and finance staff should be familiar with the procedures in OMB Circular A-11 section 82.12. The PY column and the FACTS II revision window lock on the same day, Nov 13th. Budget staff should make sure their finance counterparts are available to make changes in the FACTS II to correct errors found during the MAX A-11 PY process. If errors are found after Nov 13th, then OMB requires agencies to submit a FACTS II suppression request via the MAX Community at <https://max.omb.gov/community/x/kQJuFw>.

The screenshot shows the MAX Community website interface. At the top, there is a navigation bar with 'Home', 'Find', 'Help', and 'Feedback' links, and a user profile for 'Welcome Jan' with a 'Log Out' button. Below this is the 'BUDGET COMMUNITY' header and the main title 'FACTS II Suppression Requests FY 2011 (FY 2013 Budget)'. A search bar is visible on the right. The main content area is divided into several sections:

- Overview:** Explains that users are directed to this MAX Collect exercise because they were either 1) not able to resolve FACTS II differences before the FACTS II and MAX A-11 prior year lock out date, or 2) in a few limited cases, the budgetary accounting for your account did not support the MAX A-11 budget presentation. It also states that suppression requests must include an Action Plan and provides an important note about reading the guidance material.
- Application, Guidance, and Reference Links:** Contains 'Instructions for using the Data Collection Tool' with links to view instructions and use the data collection tool.
- Comments and Questions:** Directs questions to 'FACTS II Suppression Requests' and lists contact information for Jan Smith and Teresa Tancre.
- Updates:** A yellow box stating that for agencies who submitted requests last year and would like to review those requests and Action Plans, those items are now located at a specific URL.
- Schedule and Highlights:** A green box providing submission deadlines: 'Suppression requests should be submitted as soon as possible so OMB can review and suppress errors. Agencies should submit requests before the following deadlines.' and 'MAX Deadlines: Prior Year column locks November 14, 2011; Current Year column locks December 12, 2011'.



Definitions of Budget Terms

Baseline is the projection of the estimated receipts, outlays, and deficit or surplus that would result from continuing current law or current policies through the period covered by the budget.

CHIMPS – “CHange In Mandatory ProgramS “are “re-based” (A-11 sec. 20). For example, if the budget includes appropriations language to rescind a mandatory account’s unobligated balance, that rescission is coded discretionary in MAX A-11. But once it has been enacted, the rescission is coded mandatory on apportionments and in agency’s reporting to Treasury.

Policy is the estimates for the nine years following the budget year and enables an analysis of the long-term consequences of proposed program or tax policy initiatives.

Mandated transfer – “shall transfer \$X dollars to...” versus “may transfer”.

Transmittal codes – are used in MAX to indicate the type of request. Amounts not associated with current law or included in the President’s Budget proposed appropriations are T-0. Proposed supplementals are T-1. Amounts associated with proposed changes in an authorization statute are generally T-4.



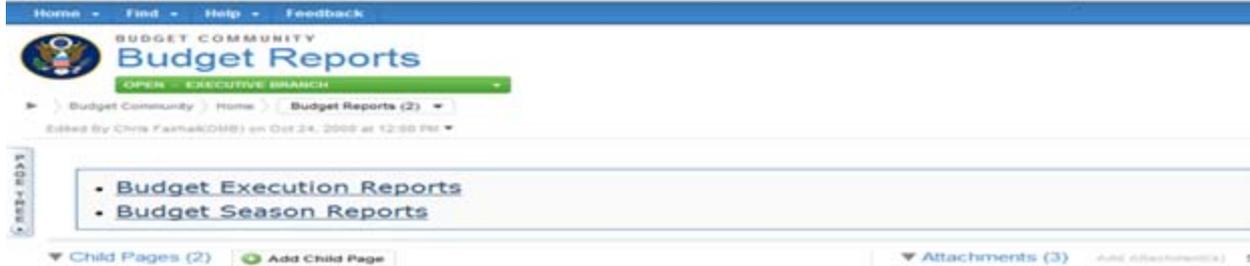
Current and Budget Year MAX entry

- ▶ **Baseline** The lateness of appropriations bill passage means agencies need to be able to turn on a dime to enter either the annualized Continuing Resolution amounts or late breaking enacted appropriations. This requires agencies to be prepared to enter not just account level totals but all the associated details that may change depending on the final decision on what to use. A few examples are FTE amounts, homeland security levels, and the amount and distribution of program obligations.
- ▶ **Baseline Lock** An important deadline is “baseline” lock. That means you need to finish entering budget authority and outlays for ALL CY column amounts plus ALL years for mandatory expenditure accounts plus ALL years for mandatory receipt accounts. For discretionary budget authority amounts you will find that MAX inflates or deflates the CY entry into the out years to determine the out year amounts under current law.
- ▶ **Mandatory amounts are not automatically generated.** Mandatory amounts are based on program specific factors such as the number of beneficiaries, the number of companies seeking patents, etc. MAX does not automatically generate budget authority for any years. Getting good estimates from program managers, especially for outyears, often requires education about the purpose and timing of the MAX A-11 estimates.
- ▶ **Policy** If the policy is to change current law, special transmittal codes are used to show the change from baseline. (Transmittal codes are discussed in OMB Circular A-11 section 79.3). For discretionary amounts, MAX will inflate or deflate the budget authority BY entry into the out years to determine the out year impact of policy.



Error Messages – what are they and how can I get rid of them?

- ▶ If you enter amounts into MAX that mathematically or conceptually do not make sense, you will get an error message. The message tries to explain the problem and provides an OMB Circular A-11 section to refer to for assistance. Generally you should be able to figure these out on your own and the error will go away. In rare instances, you may feel your account does not fit the norm. You should email your OMB program examiner to ask that the message be suppressed and provide an explanation as to why. Provide the OMB account number, error message number, and what year(s) the suppression is for.
- ▶ You may also get a “data cop” error from OMB staffs who review this year’s MAX entry to last year’s budget entries. They flag large changes to ensure that the current MAX entry is correct. These require the agency to work through your program examiner to explain succinctly why the pattern has changed from the previous President’s Budget. These error messages take time to be removed due to workload constraints.
- ▶ And during MAX season, you will get errors in your MAX accounts if the CY and BY totals do not match what OMB thinks it should be. If you are getting a Control number error that you do not think is valid, alert your OMB program examiner who may need to provide updated numbers to the OMB bill trackers. These also may take a day or so for the errors to be removed due to workload constraints.
- ▶ To help understand the error messages OMB posts reports at <https://max.omb.gov/community/x/cwM> under Budget Season Reports. There are reports at a summary level (by agency) and at the OMB account level. Other reports display control totals for FTE, the derivation of discretionary CY and BY totals, etc.



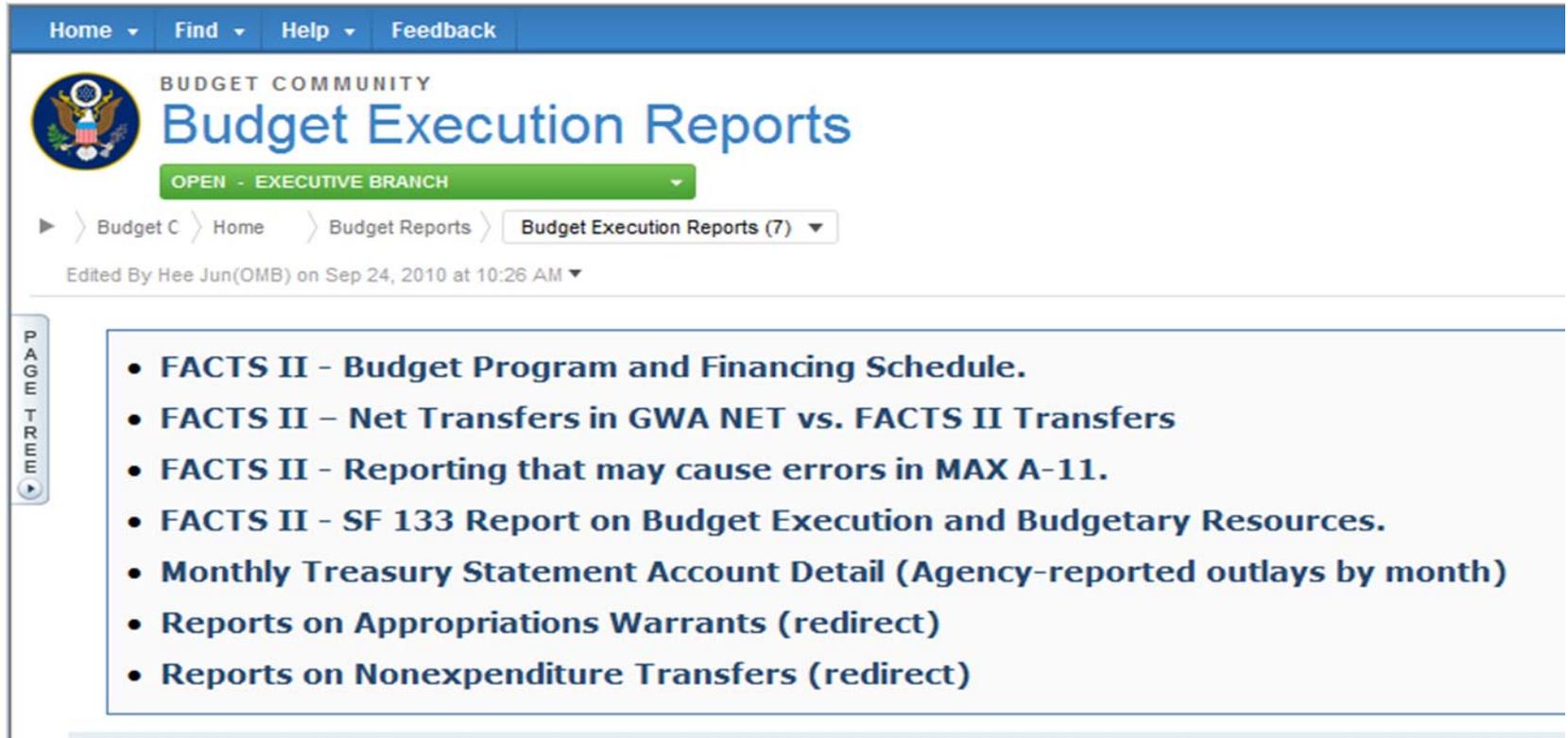
Budget Season Checklist – Start Now!

- ▶ Make sure you know your numbers are correct (\$, mandatory/discretionary, offsets (federal/non-federal amounts make sense). This is an ongoing effort. Agency bill trackers, budget execution and formulation staffs, and finance staff all need to work together to make sure the prior year, current year, and budget year entries are correct.
- ▶ Understand the deadlines. What really locks? All or part of what schedules? What years? Ask if in doubt. We like questions we can answer 😊
- ▶ Share your “numbers” tracking table frequently with OMB program examiners. This will allow them to follow the flow from proposed in budget, Congressional action, to actual. Remember to include offsets, CHIMPs, etc.
- ▶ Remember, MAX can be “balanced” but not correct so agency leads should the MAX A-II management reports to make sure the OMB account entry is adding up to what they expect to see.



Examples of how to use Budget Execution reports.

<https://max.omb.gov/community/x/HAAQAw>



The screenshot shows the 'Budget Community' website interface. At the top, there is a navigation bar with 'Home', 'Find', 'Help', and 'Feedback' links. Below this is the 'BUDGET COMMUNITY' logo and the main heading 'Budget Execution Reports'. A green button labeled 'OPEN - EXECUTIVE BRANCH' is visible. A breadcrumb trail shows 'Budget C > Home > Budget Reports > Budget Execution Reports (7)'. Below the breadcrumb, it says 'Edited By Hee Jun(OMB) on Sep 24, 2010 at 10:26 AM'. On the left side, there is a vertical 'PAGE TREE' button. The main content area contains a list of report titles:

- **FACTS II - Budget Program and Financing Schedule.**
- **FACTS II - Net Transfers in GWA NET vs. FACTS II Transfers**
- **FACTS II - Reporting that may cause errors in MAX A-11.**
- **FACTS II - SF 133 Report on Budget Execution and Budgetary Resources.**
- **Monthly Treasury Statement Account Detail (Agency-reported outlays by month)**
- **Reports on Appropriations Warrants (redirect)**
- **Reports on Nonexpenditure Transfers (redirect)**



How does my apportionment for this year compare to the CY column of last year's budget?

In this example, I am in 2011 and comparing to the 2013 Budget

Latest Approved Apportioned Amount, FY 2011

Agency / Bureau /

OMB Acct / TAFS

Department of State

Other

International Litigation Fund (014-25-5177)

19-5177 /X International Litigation Fund

<u>Line</u>	<u>Split</u>	<u>Title</u>	<u>Approved Amount</u>
		Funds provided by Public Law 000-000	
RptCat	NO	Reporting Categories	
AdjAut	NO	Adjustment Authority provided	
1000		Unob Bal: Brought forward, October 1	19,168,604
1041		Unob Bal: Antic recov of prior year unpaid obl	594,000
1700	01	BA: Disc: Spending auth: Collected	3,899,571
1740		BA: Disc: Spending auth:Antic colls, reimbs, other	4,199,429
1920		Total budgetary resources avail (disc. and mand.)	27,861,604
6001		Category A -- 1st quarter	10,264,000
6002		Category A -- 2nd quarter	8,100,000
6003		Category A -- 3rd quarter	4,032,604
6004		Category A -- 4th quarter	5,465,000
6190		Total budgetary resources available	27,861,604



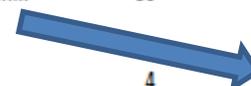
I will look at last year's budget presentation.

<http://www.whitehouse.gov/omb/budget>

Program and Financing (in millions of dollars)

Identification code 19-5177-0-2-153	2010 actual	CR	2012 est.
Obligations by program activity:			
0801 Reimbursable program	3	4	4
0900 Total new obligations (object class 25.2)	3	4	4
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	17	19	19
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	18	19	19
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special fund)	4	1	1
Spending authority from offsetting collections, mandatory:			
1800 Collected		3	3
1900 Budget authority (total)	4	4	4
1930 Total budgetary resources available	22	23	23
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	19	19	19

+



But what is this Line 1101 on the SF-133 Report?

Its not on the apportionment or in CY column of the 2013 President's Budget Appendix for my account; that has line 1201.

Line No	Line Description	Q1 Amount	Q2 Amount	Q3 Amount	Q4 Amount
Budgetary Resources					
1000	Unob Bal: Brought forward, October 1	18,165	18,165	18,165	18,165
1021	Unob Bal: Recov of prior year unpaid obligations	2	2	997	1,278
1041	Unob Bal: Antic recov of prior year unpaid obl	593	573	49	0
1050	Unob Bal: Unobligated balance (total)	=====	=====	=====	=====
		18,760	18,739	19,211	19,442
1101	BA: Disc: Appropriation (special fund)	0	0	0	6,320
1160	BA: Disc: Appropriation (total)		=====		=====
		0	0	0	6,320
1701	BA: Disc: Spending auth: Chng uncoll paymt Fed src	0	0	0	861
1750	BA: Disc: Spending auth: Total		=====		
		0	0	0	861
1800	BA: Mand: Spending auth: Collected	1	21	26	0
1840	BA: Mand: Spending auth: Antic colls, reimbs, other	4,099	4,099	4,099	0
1850	BA: Mand: Spending auth: Total	=====	=====	=====	
		4,100	4,120	4,125	0
1900	Budget authority total (disc. and mand.)	=====	=====	=====	=====
		4,100	4,120	4,125	7,181
1910	Total budgetary resources (disc. and mand.)	=====	=====	=====	=====
		22,860	22,859	23,336	26,623



The Program & Financing Schedule gives me the USSGL Accounts & Attributes.

In this case, USSGL 4114 should have a BEA_Cat MAND and not DISC

Line Num	SGL Acct	Dr Cr	Begn /End	Auth Type	Avl Time	Part- ner	Bor Src	BEA Cat	Yr BA	Xfr Agy	Xfer Acct	PY Adj	Err Adj	Adv Flg	TAFS Stat	Def Flg	Exp Flg	Fnd Typ	Fin Acct	Amount
Program Activity Total Obligations																				
0900	4801	C	B									X			U		N	2		-4,910,573.17
0900	4801	C	E									X			U		N	2		6,348,890.48
0900	4802	C	B					DISC BAL				X			U		N	2	N	-104.70
0900	4802	C	E					DISC BAL				X			U		N	2	N	104.70
0900	4881	C	E									X			U		N			84,496.16
0900	4901	C	B									X			U		N	2		-1,036,233.43
0900	4902	C	E					DISC BAL				X			U		N		N	2,801,290.70
0900	4902	C	E					DISC NEW				X			U		N		N	201,245.12
0900	4902	C	E					DISC BAL				X			U		N		N	861,054.11
0900	4981	C	E									X			U		N			3,669.19
0900	4982	C	E					DISC BAL				X			U		N		N	6,720.05
Sub-Total, 0900																			4,360,559.21	
Budgetary Resources																				
1000	4201	D	B												U		N	2		24,111,482.62
1000	4801	C	B									X			U		N	2		-4,910,573.17
1000	4802	C	B					DISC BAL				X			U		N	2	N	-104.70
1000	4901	C	B									X			U		N	2		-1,036,233.43
Sub-Total, 1000																			18,164,571.32	
1021	4871	D	E									X			U		N			1,277,660.44
1050, Unob Bal: Unobligated balance (total)																			=====	
																			19,442,231.76	
1101	4114	D	E	P				DISC				X			U		N	2		6,319,575.05
1160, BA Disc: Appropriation (total)																			=====	
																			6,319,575.05	



So pick up the phone or email

You should contact your finance counterpart and ask them to change the BEA_Cat from MAND to DISC.

If you do not know who to contact, the SF-133 and Program and Financing reports on the MAX Community Budget Execution Reports page include the name and email address of the agency person who entered the information into FACTS II.



You can see from the View/Treasury Data report the FACTS II data submitted compared to MAX entry. MAX has the correct line number for mandatory budget authority.

Combined Schedule (X)		2011 Actual	2012 CY	2013 BY
Combined Schedule (X)				
Obligations by program activity:				
0801	Reimbursable program	4	5	5
0900	Total new obligations (object class 25.2)	4	5	5
Budgetary Resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	18	22	22
1021	01 Recoveries of prior year unpaid obligations	1
1050	Unobligated balance (total)	19	22	22
Budget authority:				
Appropriations, mandatory:				
1201	01 Appropriation (special or trust fund)			
1260	Appropriations, mandatory (total)			
Mandatory, Authorizing Committee				
1260-40	01 Appropriation [Text] **OL Rates**			
1260-50	01 Baseline Non-Pay			
Policy Outlays:				
1260-61	01 New Authority			
1260-62	01 Balances (excl of EOY PY Bal)			
1260-63	01 End of PY Balances			
1260-64	01 Subtotal, outlays			

FACTS II and Treasury Data			
Description	Treasury	MAX	Difference
Facts II and Treasury Data			
X 0900: Total new obligations	4	4	0
X 1000: Unob Bal: Brought forward, October 1	18	18	0
X 1021: Unob Bal: Recov of prior year unpaid obligations	1	1	0
X 1101: BA: Disc: Appropriation (special or trust fund)	6	0	6
X 1201: BA: Mand: Appropriation (special or trust fund)	0	6	-6
X 1700: BA: Disc: Spending auth: Collected	0	0	0
X 1701: BA: Disc: Spending auth: Chng uncoll paymt Fed src	1	1	0
X 1800: BA: Mand: Spending auth: Collected	0	0	0
X 1941: Unob Bal: Memo: Unexpired unob bal carry forward, E...	22	22	0



Since the miscoding was not discovered until after the PY/FACTS II revision lock, the agency needed to provide a FACTS II suppression request.



FY 2011 FACTS II and Related Error Suppression Requests

Status: **Active**

[BDR and Instructions](#) [Cont](#)

Action Control Edit Exercise Edit All Exercises

- FY 2011 FA
- FY 2011
- Exam
- LEGIS
- AG - D
- JUDIC
- DOD
- HHS
- State
- 01
- 01
- Re
- 01
- 01
- IAP -
- DOC
- DOI
- DOI
- DOL
- TREA
- SSA
- ED - D
- DOE
- EPA
- DOT
- GSA
- DHS
- HUD
- NASA
- OPM
- SBA
- VA - D
- EOP

Permissions
PDF Preview
Export to Word
Export to Excel
Quick Preview
Configure Item
Change Phases of the Item: (Current phase is "FINAL (Approved by OMB)")

014-25-5177

Account and Line Information
 Click "Edit" and enter the OMB account code as it appears in your MAX A-11 selection picklist. Use the dropdown lists to choose the errors that you need to suppress. Multiple errors may be selected for a given account for each error. Include the dollar difference between the correct dollar amount (in MAX) and what had incorrectly been reported in FACTS II. Report the difference in actual dollars, not rounded millions. Do enter \$ or c system will insert commas.

[Edit Table](#)

Agency Code	Bureau Code	OMB Account Title	Treasury Account	Error 62350 MAX amounts do not match FACTS II amounts list	Error 62351 MAX has amounts not reported in FACTS II list	Multiple Errors FACTS II Related list	Error 66300 CY Adjustments list	Dollar Diff. between FACT II and MAX	Actions
014	25	5177	19 X 5177	1101 BA: Disc: Approp (special/trust)	None	None	None	-6,319575.05	
014	25	5177	19X5177	None	1201 BA: Mand: Approp (spec/trus)	None	None	-6,319575.05	
014	25	5177	19 X 5177	4011 Disc: Outlays from balances	None	None	None	-3,669,064.86	
014	25	5117	19 x 5177	None	4101 Mand: Outlays frm balances	None	None	3,669,064.86	
				None	None	None	None		

[Export All to Excel](#)

Action Plan

[Edit](#) [History](#)

The Budget Staff and the Finance Staff will continue to improve the processes for review of the quarterly SF 133 data by both budget and accounting offices to ensure that the appropriate presentation is record being certified in the 4th quarter FACTS II reporting window. Going forward, the apportionments will reflect this display as well.

An example of how to use the Reports on Non-expenditure Transfers



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 BUDGET COMMUNITY
Budget Execution Reports

OPEN - EXECUTIVE BRANCH ▾

▶ > Budget C > Home > Budget Reports > Budget Execution Reports (7) ▾

Edited By Hee Jun(OMB) on Sep 24, 2010 at 10:26 AM ▾

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- **FACTS II - Budget Program and Financing Schedule.**
- **FACTS II - Net Transfers in GWA NET vs. FACTS II Transfers**
- **FACTS II - Reporting that may cause errors in MAX A-11.**
- **FACTS II - SF 133 Report on Budget Execution and Budgetary Resources.**
- **Monthly Treasury Statement Account Detail (Agency-reported outlays by month)**
- **Reports on Appropriations Warrants (redirect)**
- **Reports on Nonexpenditure Transfers (redirect)**



I don't know anything about the Line 1010 transfers on my SF-133?!

- 1) Wait for others to do their MAX entry and use View/Transfer Report (if you trust others) or
- 2) Look at "Reports on Nonexpenditure Transfers" on the Budget Execution Reports page.

(PB2013-MASTER) Salaries and Expenses (Departmental Offices) CELL = READ ONLY

Combined Schedule (X)		2011 Actual	2012 CY	2013 BY	2014 BY	2015 BY	2016 BY	2017 BY	2018 BY	
X	0803 Central Administrative Services	3						
P	0804 Leadership and Administration	50	46						
A	0899 Total reimbursable obligations	48	50	46						
S	0900 Total new obligations	171	363	318						
O	Budgetary Resources:									
C	Unobligated balance:									
Q	1000 Unobligated balance brought forward, Oct 1	23	8	11						
T	1010 01 Unobligated balance transfer to other accts [14-1917]	-17						
#	1010 02 Unobligated balance transfer to other accts [14-0107]	-1						
	1011 01 Unobligated balance transfer from other accts [14-1917]						
		5								
		10								

Transfers Posted at FMS				Amount	
TAFS: 14- -0102- X-000					
Salaries and Expenses					
Appropriation Transfer(s)					
From:	14- -5571- X-000	3,026,749.00	02	12	
From:	14- -5571- X-000	6,957,569.00	2	3	
Balance Transfer(s)					
From:	14- -5571- X-000	2,127,410.00	22	122	
From:	14- -0804-1011-000	148,863.27	13		
To:	14- -0107- 11-000	975,000.00	16		
To:	14- -1917- X-000	16,960,000.00	16		
				17,935,000.00	

Acct	Title	Line	Line Title	SN	PY	CY	BY	Comment
14-0102	Sal...	1010	Unobligated balance transfer to othe...	01	-17	0	0	
14-1917	Oce...	1011	Unobligated balance transfer from ot...	01	17	0	0	
			Difference		0	0	0	
14-0102	Sal...	1010	Unobligated balance transfer to othe...	02	-1	0	0	
14-0107	Sal...	1011	Unobligated balance transfer from ot...	01	1	0	0	
			Difference		0	0	0	
14-0102	Sal...	1011	Unobligated balance transfer from ot...	01	0	54	0	
14-1917	Oce...	1010	Unobligated balance transfer to othe...	02	0	-54	0	
			Difference		0	0	0	
14-0102	Sal...	1121	Appropriations transferred from other ...	01	3	0	0	
14-1917	Oce...	1120	Appropriations transferred to other ac...	01	-3	0	0	
			Difference		0	0	0	

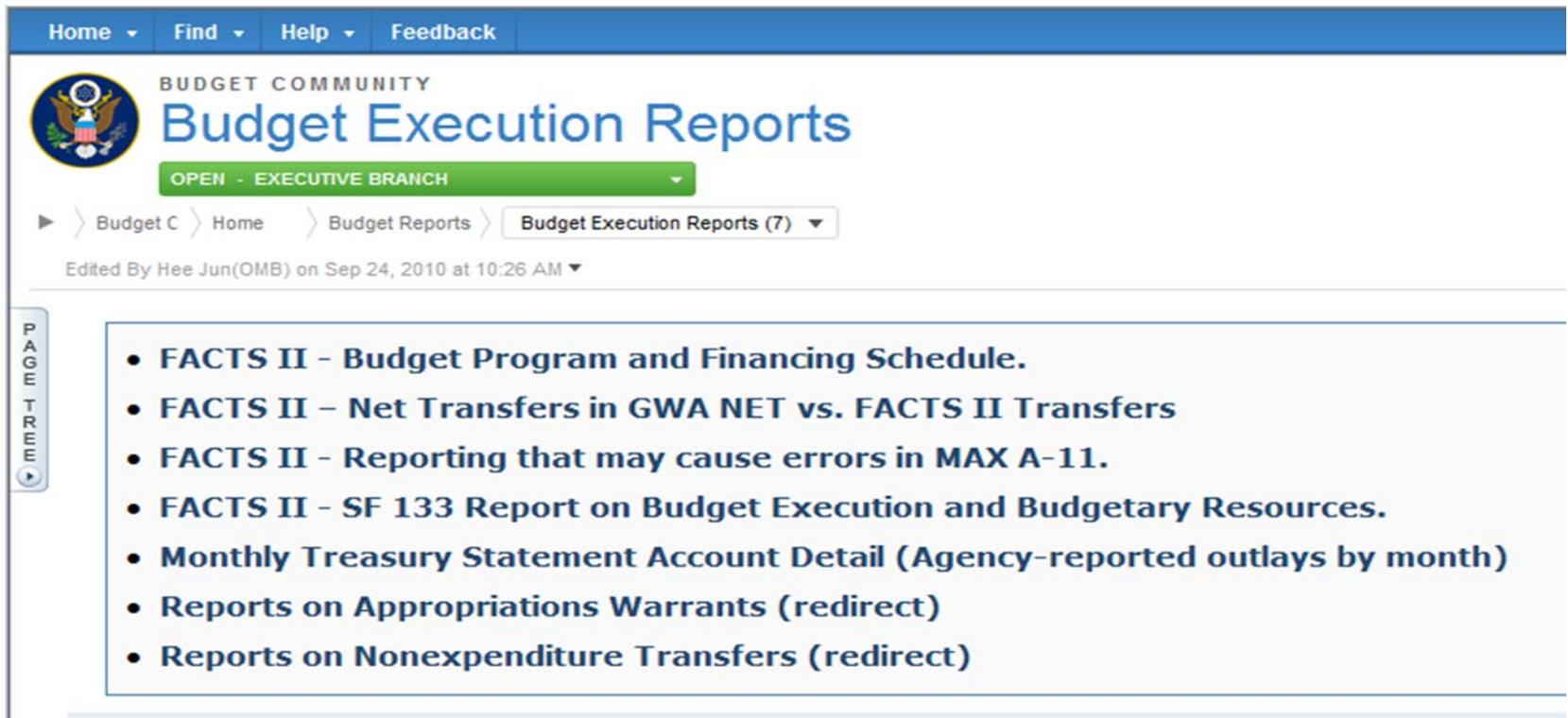
For He

C:\MAX\11\DATA\PB2013-010-84-14-0102-0-Salaries and Expenses.mud

ONLINE | PROD | INOM



An example of how to use the FACTS II – Reporting that may cause errors in MAX A-11



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I shared the report with my finance office but they say the error is not fixable – it's not an error. So what can I do?

Email your OMB program examiner, explain the situation including the USSGL accounts and attributes, and ask that they forward the information to OMB-BCB (that's us). The examiner should ask whether the error can be suppressed during MAX season. If approved, you can focus on other issues. Please note, these suppressions are rare – only a handful for the entire government!

FY2012 2nd Quarter - PY Errors in the P and F Schedule
OMB Budget Accounts Where the FACTS II Submission Will Lead to Errors in MAX

Agency: Corps of Engineers--Civil Works
Bureau: Corps of Engineers--Civil Works

OMB Account: 202-00-3125 Flood Control and Coastal Emergencies

MAX ERROR: If negative, the sum of adjustments and nonexpenditure transfers to discretionary spending authority from offsetting collections (lines 1701-1728), excluding temporary reductions on line 1723, cannot exceed gross discretionary spending authority, collected (line 1700). A-11: 82.3

MAX Amount: 31

TAFS With Issues

See the FACTS II Cross walk to the P&F schedule report to identify the related TAFS

P&F Line: 1701 Change in uncollected customer payments from Federal sources (+or -)

Was the error suppressable? The agency had over \$30 million of customer orders that were validly created for tornado projects in excess of what was actually used. When the projects ended, the customer orders were reversed. Yes.



The End

Questions / Comments?

